

1642



ATTORNEY DOCKET NO. C01039/70049 (HCL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Krieg et al.
Serial No.: 09/824,468
Confirmation No: 9046
Filed: April 2, 2001
For: METHODS AND PRODUCTS FOR STIMULATING THE IMMUNE
SYSTEM USING IMMUNOTHERAPEUTIC OLIGONUCLEOTIDES
AND CYTOKINES
Examiner: Unassigned
Art Unit: 1642

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 16th day of September, 2002.

Helen C. Lockhart

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

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TECH CENTER 1600/2900

Sir:

Transmitted herewith are the following document(s):

- [X] Preliminary Amendment (3 pages);
- [X] Certificate of Mailing; and
- [X] Return Receipt Postcard.

If the attached papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Further, if any fee is due with this filing, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read 'Helen C. Lockhart', written over a horizontal line.

Helen C. Lockhart, Reg. No. 39,248
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

Docket No. C01037/70049 (HCL)
Date: September 16, 2002



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9-25-02

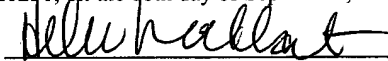
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PRELIMINARY AMENDMENT

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Sir:

Prior to examination of the above-identified application on its merits, please enter the following amendments:

In the Specification:

Please insert the following paragraph on page 1 immediately after the first paragraph listing the related application information, as follows:

--Government Support

B' The invention was developed at least in part using Federal Funding under a grant from the National Institutes of Health under Grant Number CA77764. Accordingly, the government may have rights in the invention.--